

Presentation at the “ESG and the Port Industry” Seminar, organised in the context of the project *Linking the Port Industry with National and Regional Economy –PortLink*, University of Piraeus, 21.6.23.

MORE THAN **30**
YEARS



UNIVERSITY OF PIRAEUS
DEPT. OF MARITIME STUDIES

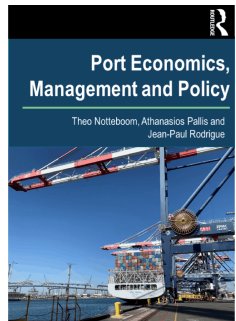
OF MARITIME STUDIES EXCELLENCE

TRANSPARENCY IN PORT GOVERNANCE

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Transparency, integrity, accountability,
responsibility, performance...



Port Transparency: more than information disclosure

VISIBILITY

Visibility of information, i.e. the degree to which information is complete and found with relative ease.

INFERABILITY

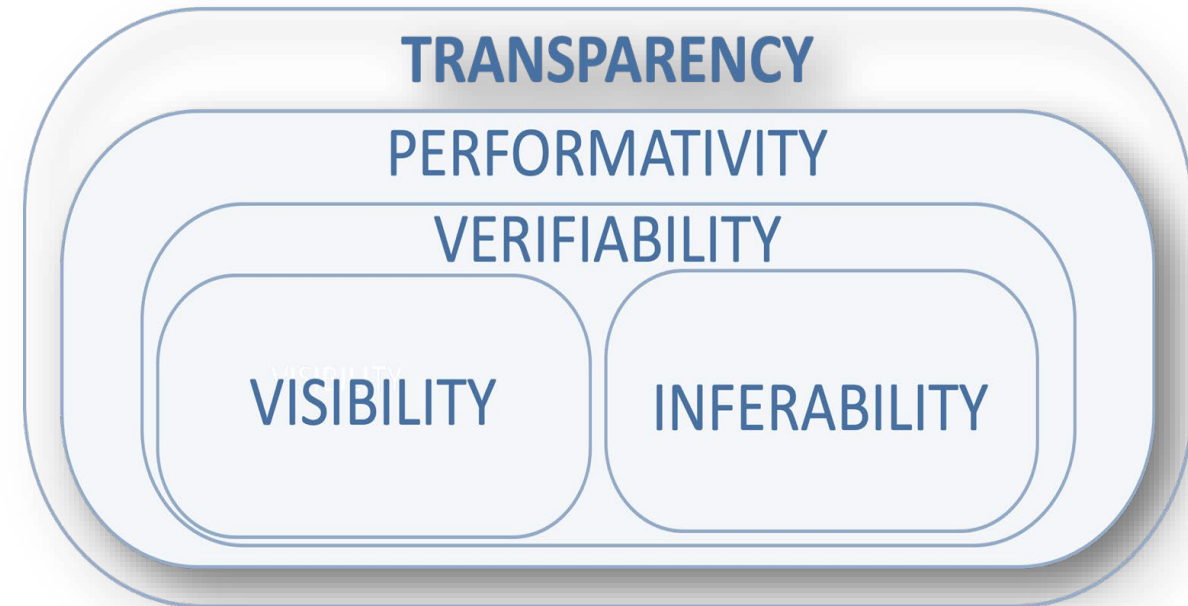
The extent to which the disclosed information and/or data, in its form and content, can be used to draw accurate conclusions

PERFORMATIVITY

A process with (un)intended dynamics that lead to the improvement of management in organizational settings

VERIFIABILITY

The quality and quantity of information permits to fully observe organizational actions & provides means of solving organizational and societal problems



Exploring Visibility

87 ports

3 Regions

5 Port Governance models

Region	N	Port Governance	N
Europe	26	Public Port Authority (Model A)	15
		Corporatized public PA (Model B)	5
		Listed company public sector majority (Model C)	3
		Listed company / private majority (Model D)	1
		State (national) Port Authority (Model E)	2
N. America	40	Corporatized public PA (Model B)	17
		Public Port Authority (Model A)	23
Latin America & Caribbean	21	Public Port Authority (Model A)	14
		State (national) Port Authority (Model E)	7

Source: Brook-Knatz-Pallis-Wilmsmeier, 2019

51 transparency items were identified for the examination of each port's website

A. DECISION-MAKING GOVERNANCE

- I. Information/Openness - Annual Meetings
- II. Information/Openness - Board Meetings
- III. Visibility of Potential Conflicts of Interest
- IV. Information on Board Committees
- V. Information on Other Committees and Port-Community Relations

B. PORT COMMUNICATIONS & INFORMATION ACCESSIBILITY

- VI. Communication and Access

C. TRANSPARENCY IN REPORTING

- VII. Availability in Reports

D. TRANSPARENCY IN OPERATIONAL ACTIVITIES

- VIII. Port Website Operational Content

I. TRANSPARENCY IN DECISION MAKING GOVERNANCE

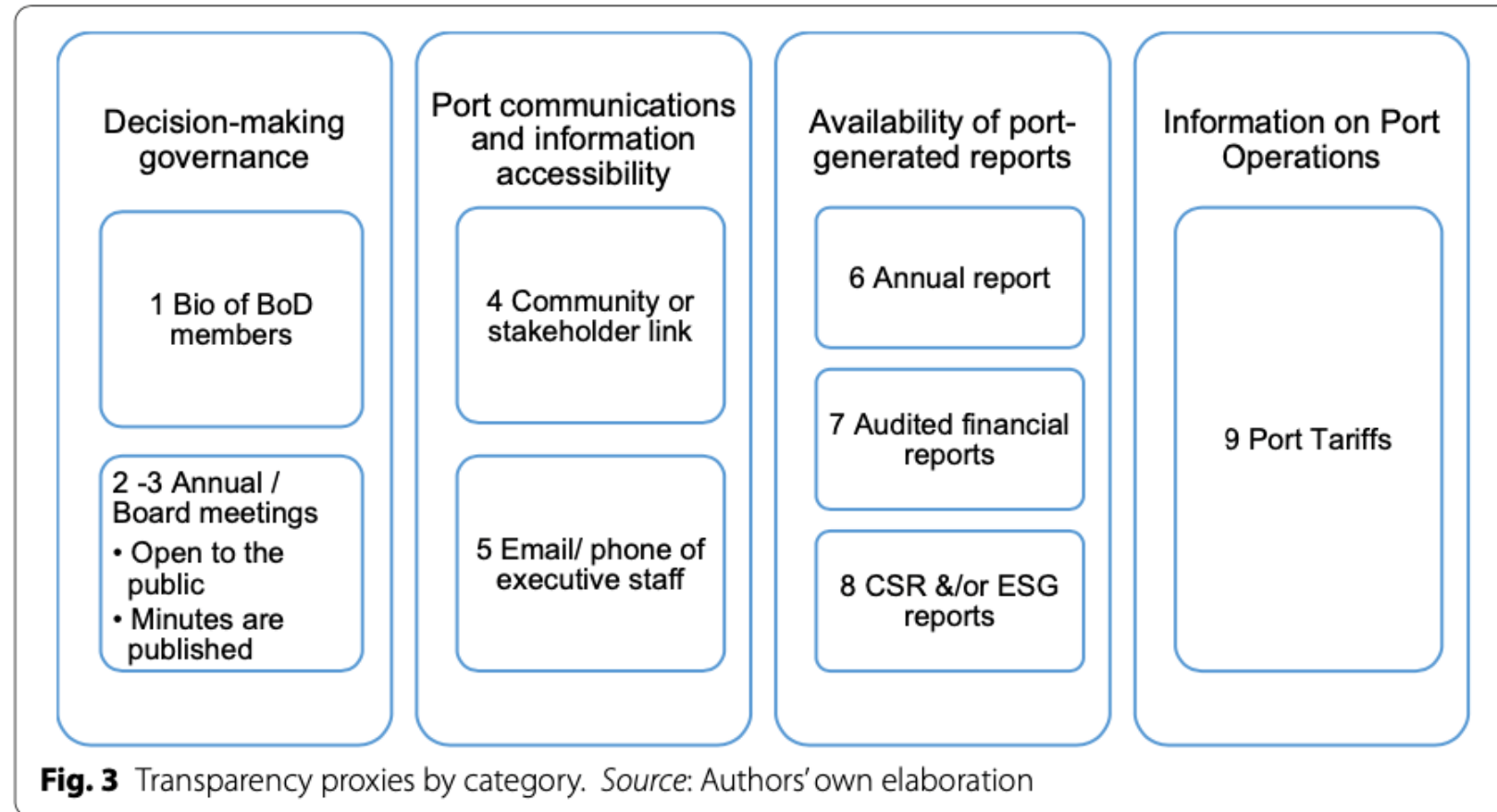
Annual Meetings	Total	Total (%)	Europe
Public access to the AM	36	42.3%	12%
Prior Notice of AM is given	37	42,5%	12%
Agendas posted in Advance	28	32,2%	12%
Agendas publicly available	29	33,3%	12%
Lists of Attendance published	18	20,7%	0%
Minutes are published	23	26,4%	12%

III TRANSPARENCY IN PORT REPORTING

	Total	Total	Europe
CSR & ESG Reports publicly available	41	47,1%	61,5%
Annual Report publicly available	64	73,6%	65,4%
Budget reports publicly available	25	28,7%	15,4%
Master Plans-Public consultation at Initiation	17	19,5%	7,7%
Master-Plans publicly available	38	43,7%	26,9%
Financial reports publicly available	61	70,1%	65,4%
Audited financial reports publicly available	60	69,0%	65,4%

Transparency in port governance: setting a research agenda

Mary R. Brooks¹, Geraldine Knatz², Athanasios A. Pallis^{3*} and Gordon Wilmsmeier^{4,5}



Transparency in port governance: setting a research agenda

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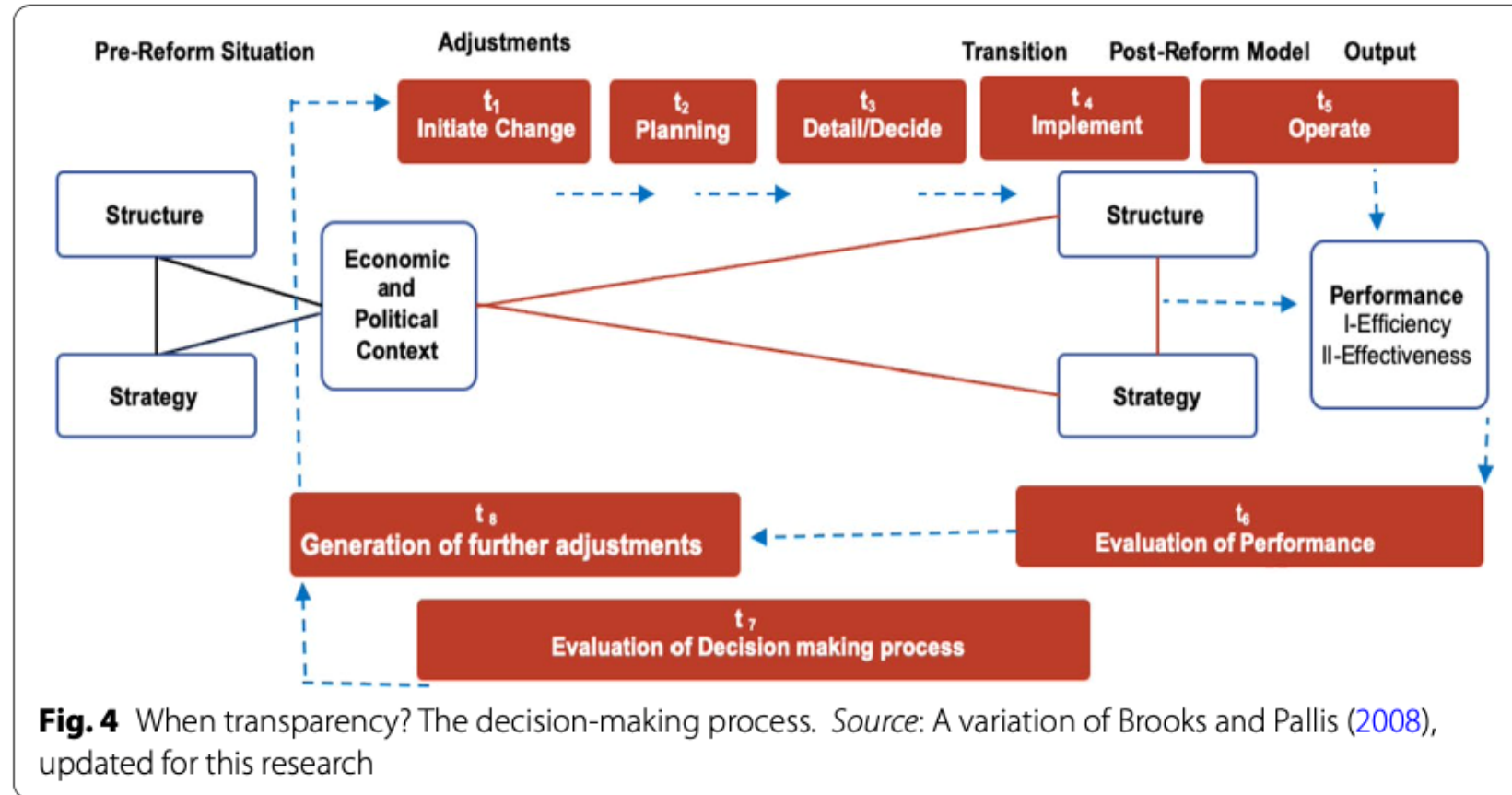


Fig. 4 When transparency? The decision-making process. *Source:* A variation of Brooks and Pallis (2008), updated for this research

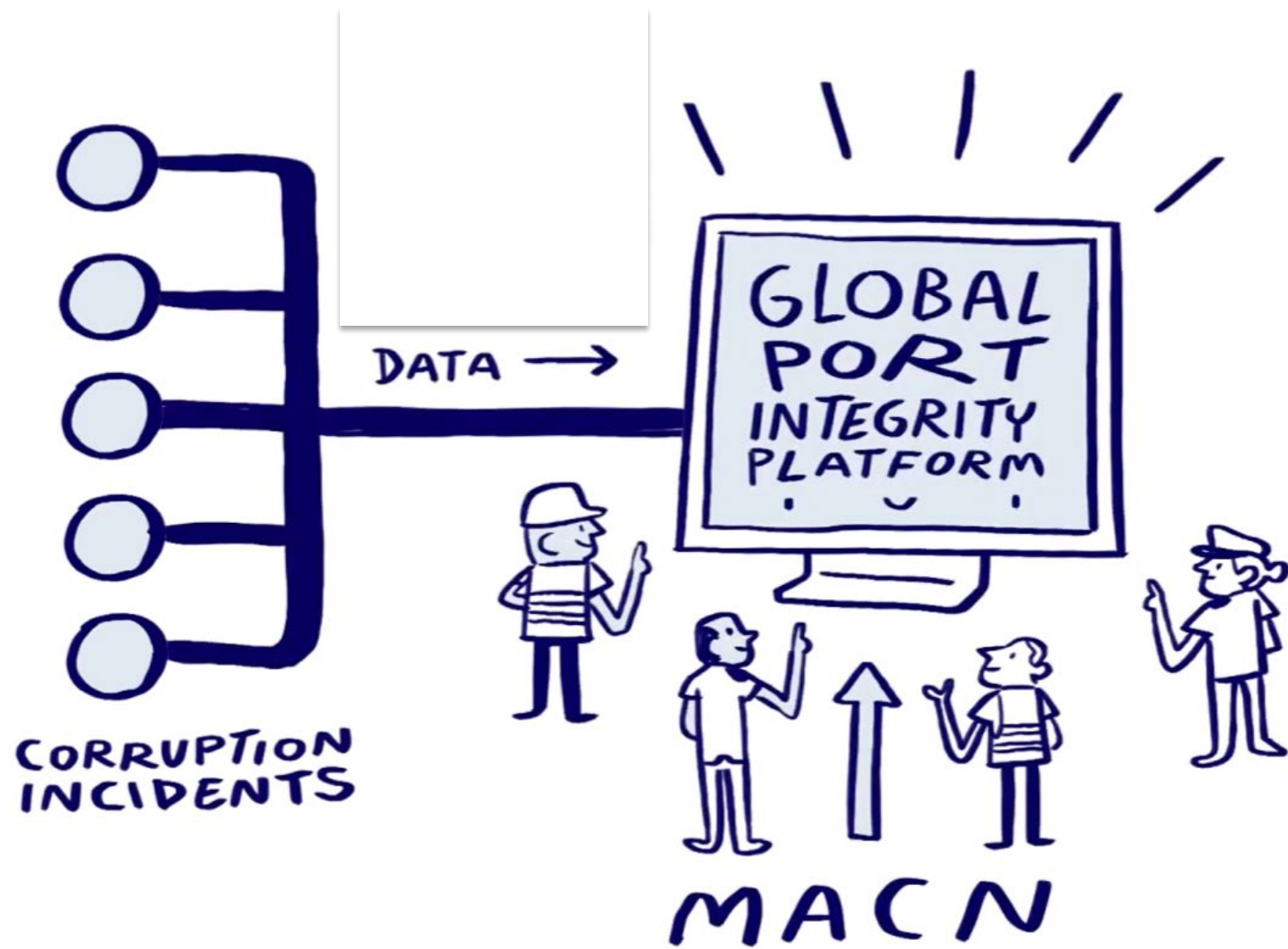
Membership

180+ members

Over 50% of global tonnage

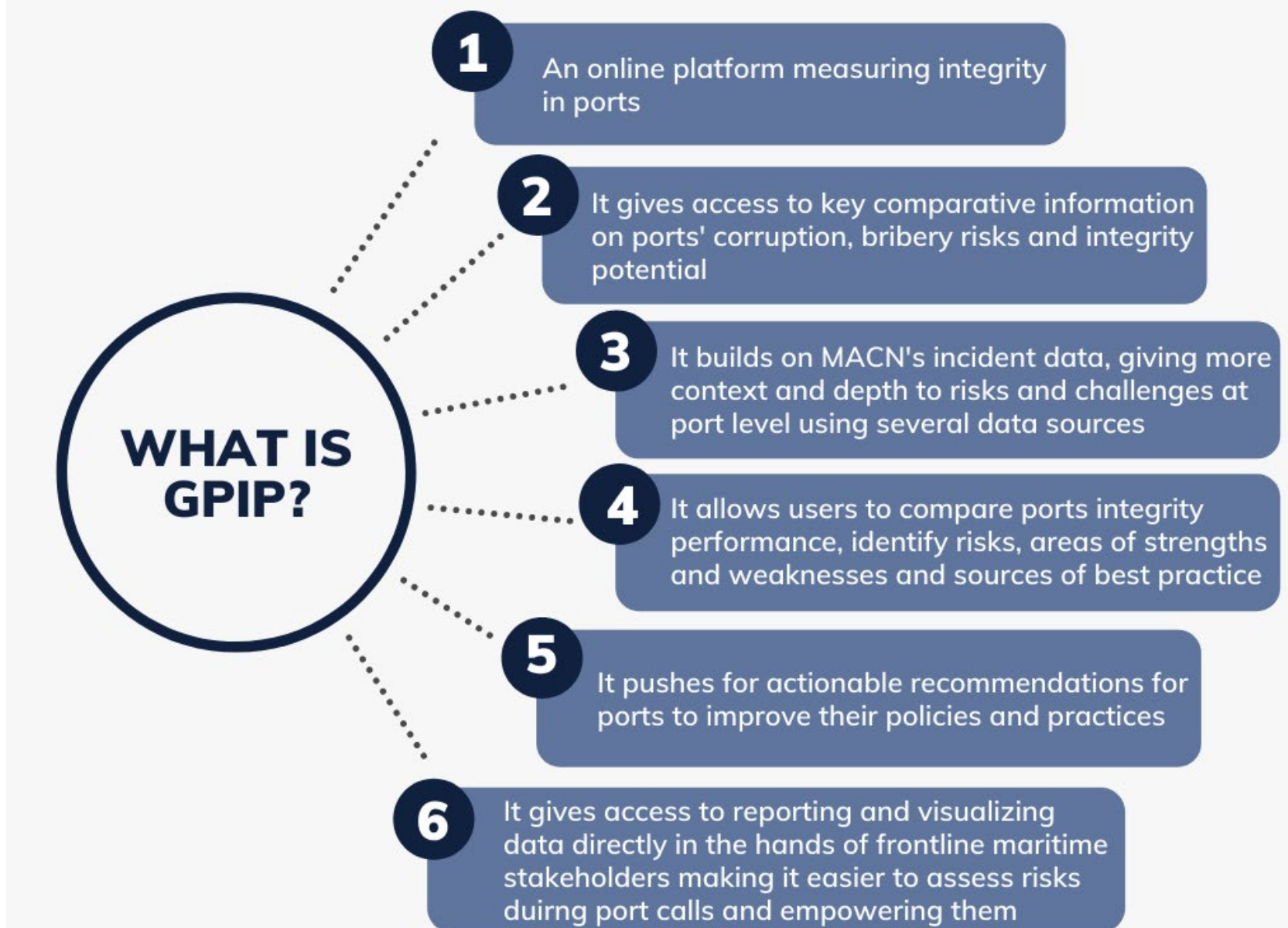








The Global Port Integrity Platform (GPIP)



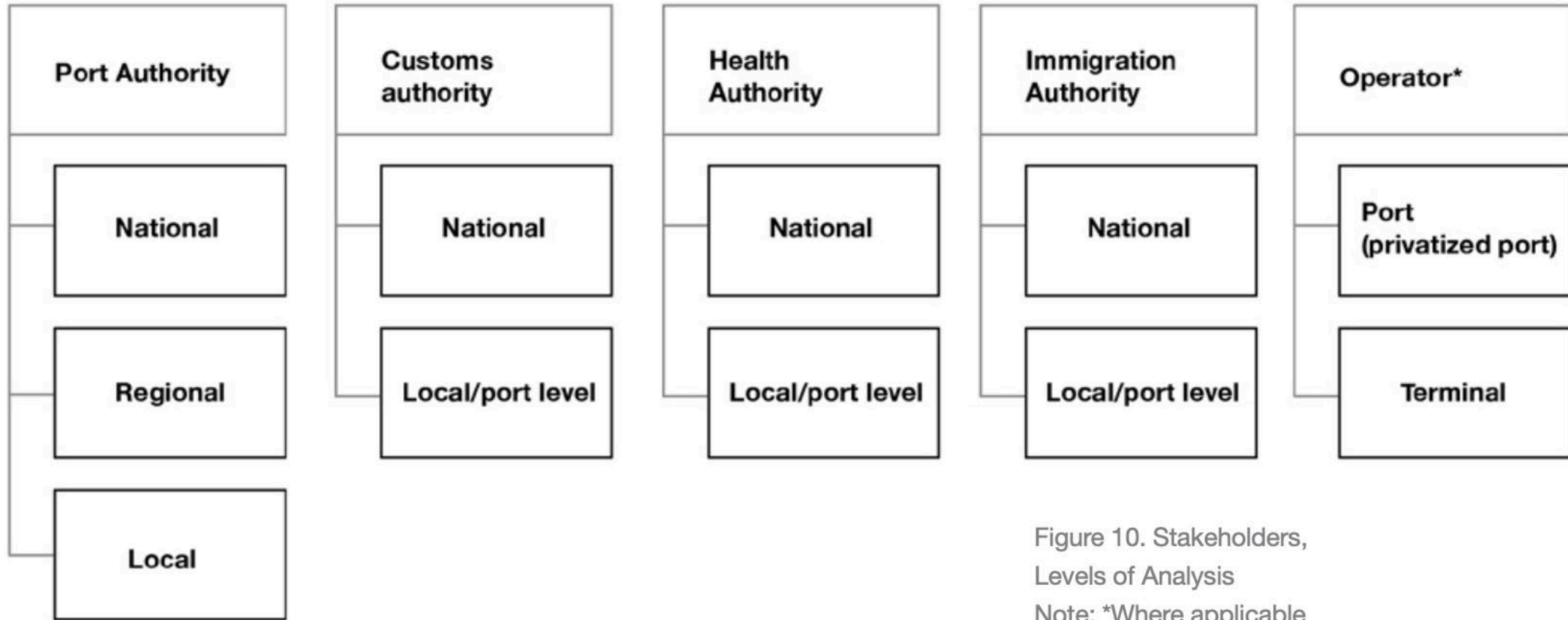
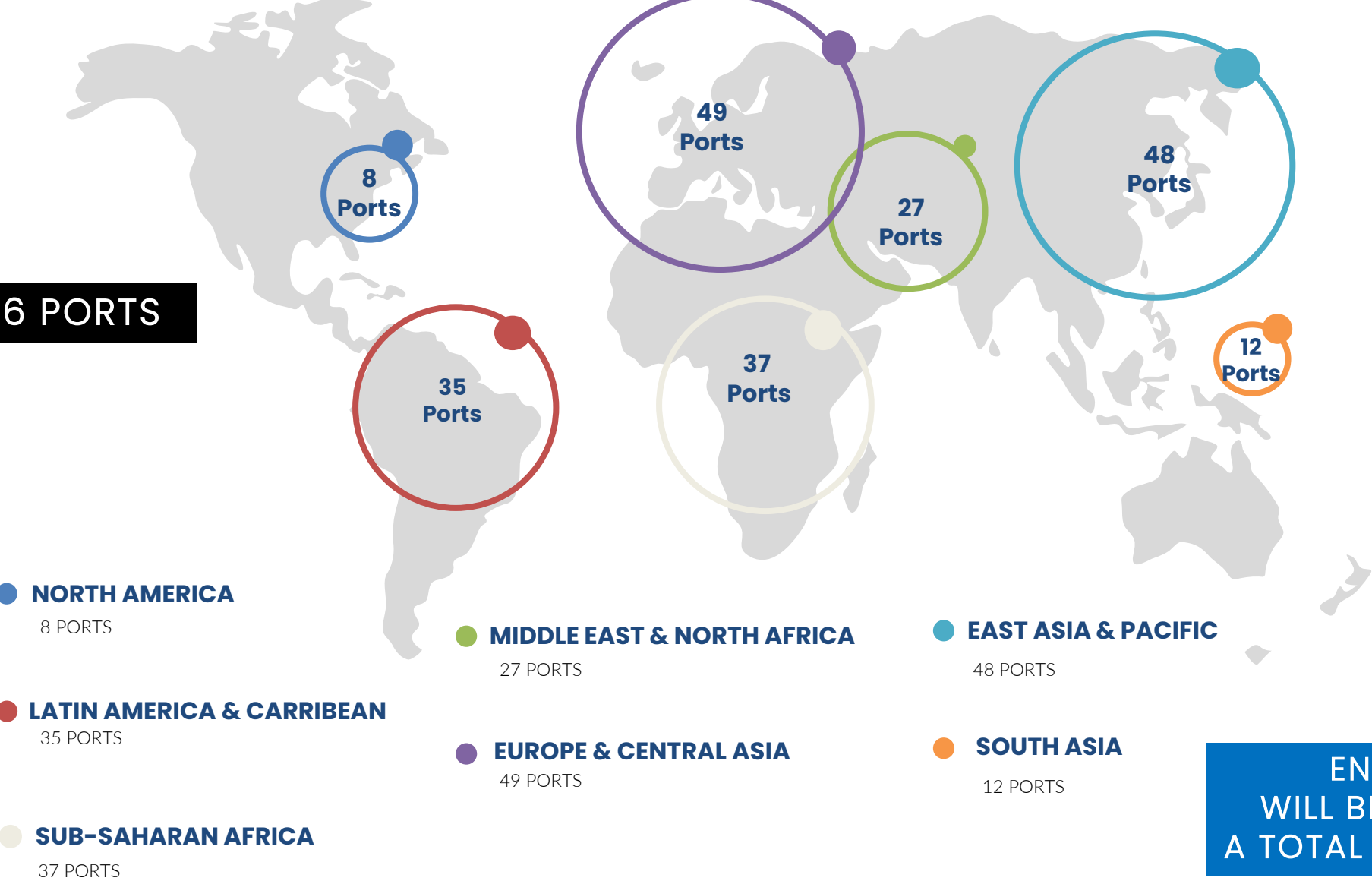


Figure 10. Stakeholders,
Levels of Analysis
Note: *Where applicable






PORT DATABASE: How it looked at the end of 2022

A TOTAL OF 216 PORTS



END 2023 :
WILL BE COUNTING
A TOTAL OF 255 PORTS

Integrity Risk

Very High	
High	
Moderate	
Low	
Very Low	

B2. Online accessibility of Port Procedures
for vessel clearance and inspections

(Very High Risks)



B2a. Port Authority



B2b. Customs Authority



B2c. Immigration Authority



B2d. Port Health Authority



B. Port Integrity Commitments

(Very High Risks)



1.Implementation of systems
for Electronic Exchange of Information



2.Online accessibility of Port Procedures
for vessel clearance and inspections



3.Online accessibility
of the authority's anti-corruption policy



4.Accessibility and reliability
of the whistleblowing mechanism



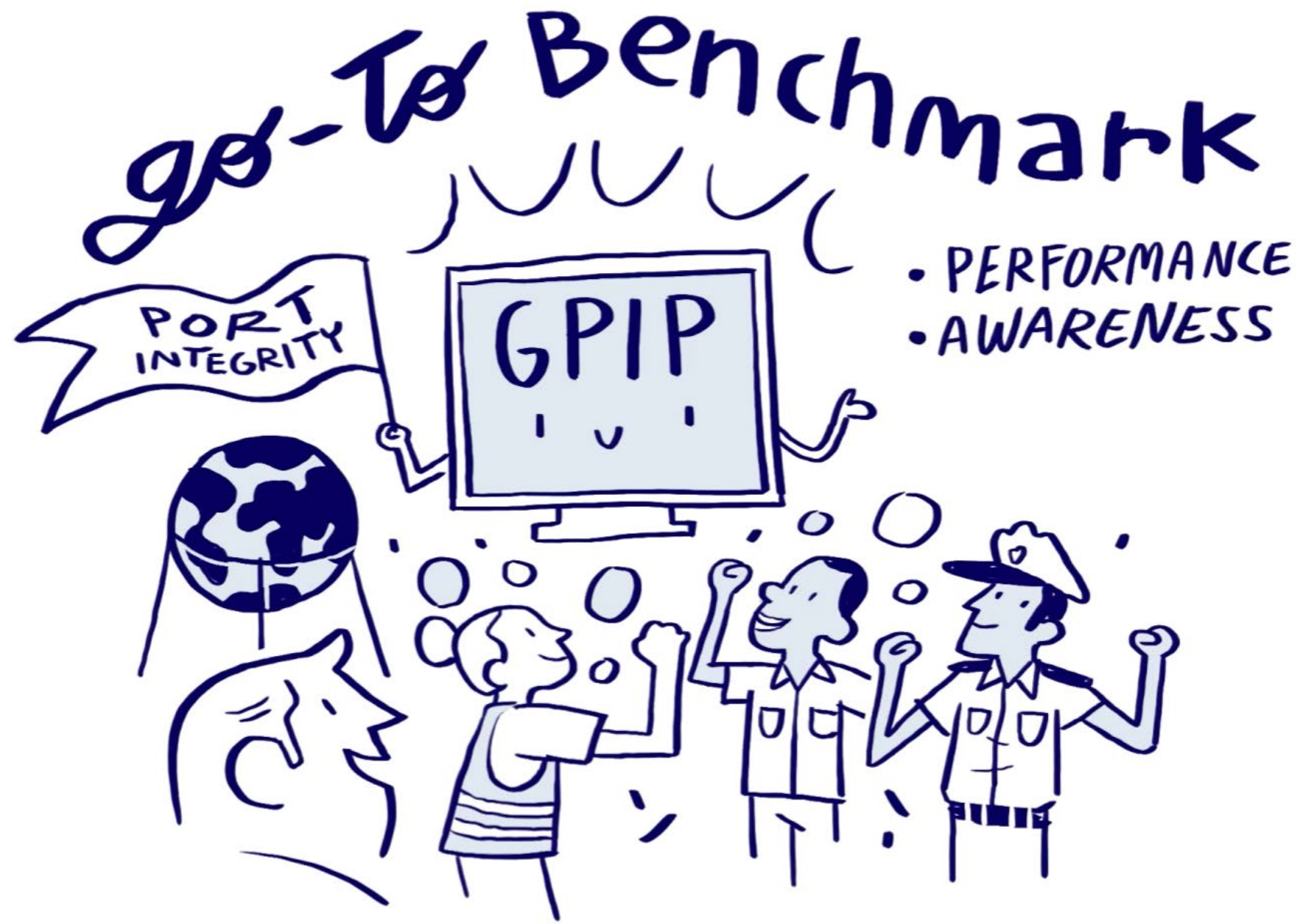
5.Accessibility and reliability
of the complaints and appeals mechanism



PORT INTEGRITY PERFORMANCE



- PORT
SECTOR
REFORM
- PORT
GOVERNANCE
- DEVELOPMENT





Developing a Global Port Integrity Platform (GPIP)

A contribution to
better maritime
supply chains

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White Paper prepared
in the context of the Maritime Anti-Corruption Network (MACN) activities

October 2022



ISBN 978-87-974224-0-3

GPIP White Paper

THANK YOU

Thanos Pallis



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